

DISCLOSURE DOCUMENT



HIGH STREET ASSET MANAGEMENT (PTY) LTD

AN AUTHORISED FINANCIAL SERVICES PROVIDER – FSP 45210

INTRODUCTION

In terms of Part IV of the General Code of Conduct for Authorised Financial Services Providers and Representatives, financial service providers are required to disclose certain information to their clients.

As a client of High Street Asset Management (“the FSP”), please read through this document carefully.

Should anything in this document be unclear, please request further information. As a client, you are also entitled to receive a copy of this document for your records.

Please sign the acknowledgement at the end of this document that you have read and understood the contents hereof.

AUTHORISED FINANCIAL SERVICES PROVIDER

The FSP is an authorised financial services provider in terms of Section 8 of the Financial Advisory and Intermediary Services Act (“FAIS”) and is regulated by the Financial Sector Conduct Authority (“FSCA”). A copy of the license certificate is available upon request.

The business particulars of the FSP are:

REGISTRATION NUMBER	2013/124971/07
FSP NUMBER	45210
PHYSICAL ADDRESS	The Offices of Hyde Park, Block B, Strouthos Place, Hyde Park, 2196
POSTAL ADDRESS:	The Offices of Hyde Park, Block B, Strouthos Place, Hyde Park, 2196
CONTACT PERSON:	Jo-Ann van der Merwe
TELEPHONE NUMBER:	+27 11 325 4006
E-MAIL:	jo-ann@highstreetholdings.co.za
WEBSITE:	www.highstreetassetmanagement.co.za

FINANCIAL SERVICES AND PRODUCTS

The FSCA has duly authorised the FSP to render financial services in respect of the following financial products:

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER
CATEGORY I		
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in one or more collective investment scheme	X	X
Short-term Deposits	X	X
CATEGORY II		
Shares		X
Money market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Bonds		X
Derivative instruments		X
Participatory interests in one or more collective investment scheme		X
Long-term Deposits		X
Short-term Deposits		X

AUTHORISED KEY INDIVIDUAL AND REPRESENTATIVES

The FSCA has duly authorised the following key individual to manage and oversee the relevant classes of business for the FSP:

FULL NAMES	SURNAME	CATEGORY	CLASS OF BUSINESS
Michael John	Patchitt	II	Short-term and Long-term deposits
			Investments
Ross Mark	Beckley	II	Short-term and Long-term deposits
			Investments

The FSP has duly authorised the representative(s) listed below to render financial services on its behalf:

ROSS BECKLEY

CATEGORY	SUBCATEGORY	CATEGORY DESCRIPTION	ADVISE NON-AUTOMATED	INTERMEDIARY OTHER
1	8	Shares	X	X
1	9	Money market instruments	X	X
1	10	Debentures and securitised debt	X	X
1	11	Warrants, certificates and other instruments	X	X
1	12	Bonds	X	X
1	13	Derivative instruments	X	X
1	14	Participatory interests in a collective investment scheme	X	X
1	18	Short-term Deposits	X	X
2	5	Shares		X
2	6	Money market instruments		X
2	7	Debentures and securitised debt		X
2	8	Warrants, certificates and other instruments		X
2	9	Bonds		X
2	10	Derivative instruments		X
2	11	Participatory interests in a collective investment scheme		X
2	13	Long-term Deposits		X
2	14	Short-term Deposits		X

MICHAEL JOHN PATCHITT

CATEGORY	SUBCATEGORY	CATEGORY DESCRIPTION	ADVISE NON-AUTOMATED	INTERMEDIARY OTHER
1	8	Shares	X	X
1	9	Money market instruments	X	X
1	10	Debentures and securitised debt	X	X
1	11	Warrants, certificates and other instruments	X	X
1	12	Bonds	X	X
1	13	Derivative instruments	X	X
1	14	Participatory interests in a collective investment scheme	X	X
1	18	Short-term Deposits	X	X

2	5	Shares		X
2	6	Money market instruments		X
2	7	Debentures and securitised debt		X
2	8	Warrants, certificates and other instruments		X
2	9	Bonds		X
2	10	Derivative instruments		X
2	11	Participatory interests in a collective investment scheme		X
2	13	Long-term Deposits		X
2	14	Short-term Deposits		X

DETAILS OF PRODUCT SUPPLIER(S)

The FSP does not market the products of product suppliers.

CONFLICT OF INTEREST MANAGEMENT POLICY

The FSP has adopted and implemented a conflict of interest management policy. The policy is published and available for download on the website of the FSP.

INDEMNITY COVER

The FSP has professional indemnity insurance in place.

COMPLIANCE OFFICER

EXTERNAL COMPLIANCE PROVIDER	INDEPENDENT COMPLIANCE SERVICES (PTY) LTD
REGISTRATION NUMBER	2003/020695/07
FCSA PRACTICE NUMBER	1258
PHYSICAL ADDRESS	OFFICE 9, HERITAGE SQUARE, CNR GLADSTONE & VREDE STREETS, DURBANVILLE, 7551.
CONTACT PERSON	ENRIQUE GOOSEN
TELEPHONE NUMBER	021 975 6597
E-MAIL	INFO@COMPLIANCESERVICES.CO.ZA

COMPLAINTS

Should you as client, wish to pursue a complaint against a key individual or representative of the FSP, the complaint should be sent in writing to the following email address: jo-ann@highstreetholdings.co.za

If the complaint cannot be settled with the FSP, the complaint may be referred to the office of the FAIS Ombud.

The Ombud was created to provide members of the public with a further redress mechanism.

TELEPHONE NUMBER	021 470 9080
WEBSITE	WWW.FAISOMBUD.CO.ZA
EMAIL	INFO@FAISOMBUD.CO.ZA

FINANCIAL INTELLIGENCE CENTRE ACT (“FICA”)

In terms of FICA the FSP is registered as an accountable institution. The FSP follows a risk-based approach to money laundering and related activities.

By following this approach the FSP is required to identify all prospective clients and verify their given information, as well as keep records in respect thereof.

Application of a risk-based approach implies that the FSP can accurately assess the risks involved with a business relationship and to apply the appropriate methods and levels of client verification.

The FSP must and will report any suspicious and unusual transactions that may facilitate any money laundering to the relevant authorities.

DISCLAIMER

Investors should take cognisance of the fact that there are risks involved when buying, selling or investing in any financial product. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions.

Past returns may not be indicative of future returns and an investor should seek independent professional financial, legal and tax advice relevant to their individual circumstances before making any investment decision.

CLIENT ACKNOWLEDGMENT

I/We the undersigned, hereby acknowledge receipt of this Disclosure Document and have read and understood the contents hereof.

Signed	
Print name	
Date	

Signed	
Print name	
Date	